

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**IN RE PATENT APPLICATION OF:** Mao et al.

**OUR FILE NO:** 10-387 US

**SERIAL NUMBER:** New application

**GROUP:** New application

**FILED:** New application

**EXAMINER:** New application

**TITLE: NON-MOVING PARTS RECONFIGURABLE ADD/DROP DEVICE**



*Handwritten signature/initials*

**Information Disclosure Statement**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application with references.

**Certification**

X This Information Disclosure Statement is submitted within three months of:

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

\_\_\_\_\_ This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

\_\_\_\_\_ This Information Disclosure Statement is submitted after three months from

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. §1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. §1.97(c) and § 1.17(p) is submitted herewith.

\_\_\_\_\_ This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to §1.17(i) (1) are submitted herewith.

\_\_\_\_\_ A certification under 37 C.F.R §1.97 is submitted herewith separately from this paper.

\_\_\_\_\_ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

\_\_\_\_\_ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,



Randy W. Lacasse  
Registration No: 34,368

1/19/01  
Date:

Lacasse & Associates  
2001 Jefferson Davis Highway  
Suite 806  
Arlington, Virginia 22201  
U.S.A.

Tel: (703) 415 1015  
Fax: (703) 415-1017

<b>Form PTO 1449</b>  <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  Information Disclosure Statement by Applicant	<b>ATTY. DOCKET NUMBER:</b> 10-387 US	<b>SERIAL NUMBER:</b> New Application
	<b>APPLICANT:</b> MAO ET AL.	
	<b>FILING DATE:</b> NEW APPLICATION	<b>GROUP:</b> NEW APPLICATION

JCS79 U.S. PTO  
 09/764256  
 01/19/01

**U.S. Patent Documents**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILE APP
	5,978,116	Nov. 2/99	Wu et al.	359	124	
	5,606,439	Feb. 25/97	Wu	349	117	
	5,694,233	Dec. 2/97	Wu et al.	359	117	
	6,035,080	Mar. 7/00	Henry et al.	385	24	
	4,973,124	Nov. 27/90	Kaede	350	96.15	

**Foreign Patent Documents**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

EXAMINER		DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant		